

SUNSHINE LAW MEETING



HAWAI'I STATE ETHICS COMMISSION

State of Hawai'i · Bishop Square, 1001 Bishop Street, ASB Tower 970 · Honolulu, Hawai'i 96813

NOTICE OF MEETING OF THE HAWAI'I STATE ETHICS COMMISSION

Date: January 21, 2021

Time: 9:00 a.m.

Location: Via Videoconference or Phone:

Videoconference: [Join Zoom Meeting](#)

Phone: +1 (346) 248-7799 or +1 (408) 638-0968

Meeting ID: 977 1539 2971

Password: 233507

Due to COVID-19, this meeting will be held via videoconference and phone conference. All members of the public are invited to join this meeting using the above information; anyone wishing to testify may do so during the conference call, or may submit written testimony in advance of the meeting via e-mail, fax, or mail. To avoid excessive noise/feedback, please mute your telephone except to testify.

In person:

Hawai'i State Ethics Commission Conference Room
American Savings Bank Tower
1001 Bishop Street, Suite 960
Honolulu, Hawai'i 96813

***Note: Commission Chair Tschumy intends to be present in the Commission conference room; other Commissioners and staff will appear remotely, via videoconference, and members of the public are encouraged to attend via videoconference or telephone. Staff will make every effort to accommodate members of the public who wish to testify in person at the Commission's Conference Room, but staff will limit the number of people allowed in the Conference Room at any given time. If you wish to attend in person, you must wear a mask and must remain more than six feet away from every other person in attendance.*

A G E N D A

CALL TO ORDER

I. Consideration and Approval of the Minutes of the December 17, 2020 Meeting

II. Election of Officers for 2021

Attachment 1: Election of Officers for 2021

III. Executive Director's Report

1. Education / Training Report

Attachment 2: 2020-2021 Training Attendance / Schedule

Attachment 3: Online Training Completions by Department

2. Guidance and Assignment Statistics – December 2020

Attachment 4: 2020 Guidance and Assignment Statistics / Website Traffic

3. Financial Report for FY 2020-2021 (Oct. 1, 2020 – Dec. 31, 2020)

Attachment 5: Q2 Financial Report FY 2020-2021

4. Ethics Survey of State Employees

5. Upgrades to E-filing System

6. Miscellaneous Office Projects / Updates

IV. Budget: 2021-2022

V. 2021 Legislative Session

- VI. *Akana v. Hawaii State Ethics Commission and Daniel Gluck*, Civil No. 18-1-1019-06 (JHA); *Akana v. Hawaii State Ethics Commission*, Civil No. 19-1-0379-03 (JHA); *State of Hawaii, Ethics Commission v. Rowena Akana*, Civil No. 20-1-0453 (BIA)

Discussion of case status.

The Hawai'i State Ethics Commission may convene an executive session pursuant to Hawai'i Revised Statutes section 92-5(a)(4) to consult with the Commission's attorneys on questions and issues pertaining to the Commission's powers, duties, privileges, immunities, and liabilities.

- VII. Adjournment

If you need an auxiliary aid/service or other accommodation due to a disability, please contact the Hawai'i State Ethics Commission by telephone at (808) 587-0460, by facsimile at (808) 587-0470 (fax), or via email at ethics@hawaiiethics.org. Requests made as early as possible will allow adequate time to fulfill your request.

Upon request, this notice is available in alternate formats such as large print, Braille, or electronic copy.

Any interested person may submit data, views, or arguments in writing to the Commission on any agenda item. An individual or representative wishing to testify may notify any staff member of the Commission prior to the meeting or, during the meeting itself, may inform a Commissioner or Commission staff of a desire to testify. Testimony must be related to an item that is on the agenda, and the testifier shall identify the agenda item to be addressed by the testimony.

SUNSHINE LAW MEETING
AGENDA ITEM I

CONSIDERATION AND APPROVAL OF THE MINUTES OF THE
DECEMBER 17, 2020 MEETING

Attachment 1: Sunshine Law Meeting Minutes of the December 17, 2020
 Hawaii State Ethics Commission Meeting

SUNSHINE LAW MEETING
MINUTES OF THE HAWAII STATE ETHICS COMMISSION

STATE OF HAWAII

Date: December 17, 2020

Time: 9:00 a.m.

Location: Held via Zoom video and audio conference, with the Commission's Chair present in the Hawaii State Ethics Commission's conference room, American Savings Bank Tower, 1001 Bishop Street, Suite 960, Honolulu, Hawaii 96813

Present: State Ethics Commission Members

Ruth D. Tschumy, Chair (present in conference room)
Melinda S. Wood, Vice Chair (via video conference)
Reynard D. Gaulty, Commissioner (via video conference)
Wesley F. Fong, Commissioner (via video conference)

State Ethics Commission Staff

Daniel M. Gluck, Executive Director (via video conference)
Susan D. Yoza, Associate Director (via video conference)
Nancy C. Neuffer, Staff Attorney (via video conference)
Virginia M. Chock, Staff Attorney (via video conference)
Bonita Y.M. Chang, Staff Attorney (via video conference)
Kee M. Campbell, Staff Attorney (via video conference)
Patrick Lui, Computer Specialist (via audio conference)

Note: There was a vacancy on the Commission at the time of this meeting.

CALL TO ORDER

Chair Tschumy called the meeting to order at 9:05 a.m. and confirmed that all Commissioners were present.

Agenda Item No. I: Consideration and Approval of the Minutes of the November 19, 2020 Meeting

Commissioner Fong made and Vice Chair Wood seconded a motion to approve the minutes of the November 19, 2020 Sunshine Meeting. The motion carried unanimously (Tschumy, Wood, Gaulty, and Fong voting).

1 **Agenda Item No. II: Consideration and Approval of the Minutes of the**
2 **November 19, 2020 Executive Session Meeting (Evaluation of Executive Director)**
3

4 Commissioner Gaulty made and Commissioner Fong seconded a motion to
5 approve the minutes of the November 19, 2020 Executive Session Meeting pertaining to
6 the evaluation of the Executive Director. The motion carried unanimously (Tschumy,
7 Wood, Gaulty, and Fong voting).
8
9

10 **Agenda Item No. III: Consideration and Approval of the Minutes of the**
11 **November 19, 2020 Executive Session Meeting (Rowena Akana matters)**
12

13 Vice Chair Wood made and Commissioner Gaulty seconded a motion to
14 approve the minutes of the November 19, 2020 Executive Session Meeting pertaining to
15 the Rowena Akana matters. The motion carried unanimously (Tschumy, Wood,
16 Gaulty, and Fong voting).
17
18

19 **Agenda Item No. IV: Executive Director's Report**
20

21 *Education / Training Report*
22

23 Executive Director Gluck said that in-person trainings remain on hold due to
24 COVID-19. Staff continues to provide live, online trainings via Zoom and conducted
25 four sessions in the previous two weeks, reaching 425 participants. Two of the trainings
26 were designed specifically for government attorneys and offered continuing legal
27 education credit for ethics. Executive Director Gluck said that both staff attorneys and
28 administrative staff are doing a great job in coordinating and facilitating the online
29 trainings, and that in order to accommodate the high demand, the office recently
30 upgraded its Zoom account to host an increased number of participants.
31

32 *Guidance and Assignment Statistics – November 2020*
33

34 Executive Director Gluck said that the number of complaints received is
35 substantially larger than last year, and that staff remains very busy on investigations
36 and complaint matters.
37

38 *Ethics Survey of State Employees*
39

40 Executive Director Gluck said that the collection of responses to the ethics
41 survey is now complete and approximately 2,300 responses were gathered in total.
42 Executive Director Gluck is working with the researchers from the University of Hawai'i
43 to draft a paper discussing the survey results; the group plans to submit the paper for
44 publication in January 2021.

1 *Anti-Fraud Hotline*

2
3 Executive Director Gluck said that the anti-fraud hotline continues to receive a
4 steady number of submissions. Executive Director Gluck said that staff are working on
5 a few anti-fraud complaints, but that the majority of the complaints received through the
6 anti-fraud hotline have not pertained to the ethics code. Executive Director Gluck also
7 noted that a few users have filed duplicate complaints via both the anti-fraud complaint
8 form and the Commission's direct complaint form.
9

10 *Miscellaneous Office Projects / Updates*

11
12 Staff Attorney Chang said that enhancements to the Commission's online e-filing
13 system will be launched in the very near future. The enhancements will improve the
14 filing process for both financial disclosures and lobbyist reporting and will include
15 additional features such as automatic reminders and an online lobbyist termination form.
16 Staff Attorney Chang said that staff are also updating the Commission's e-filing
17 instructional guides and website to reflect the new changes.
18

19 Staff Attorney Chang said that staff will hold training sessions in January 2021 to
20 educate lobbyists on the new features of the e-filing system and the substantive
21 updates to the administrative rules.
22

23 Executive Director Gluck said that the new enhancements will address existing
24 quirks in the system and provide a more streamlined experience for the users. He also
25 said there are only about twelve lobbyists who continue to file using the paper forms,
26 and that the new administrative rules permit the Commission to disallow the use of
27 paper forms in the future. In the meantime, staff are encouraging lobbyists who file
28 paper forms to transition to the e-filing system.
29
30

31 **Agenda Item No. V: Proposed Budget: 2021-2022**

32
33 Executive Director Gluck said there is still great uncertainty surrounding the
34 budget for fiscal year 2021-2022, and that he is awaiting word from the Legislature
35 regarding potential funding cuts.
36

37 Executive Director Gluck said that, per the request of the Speaker of the House,
38 he created two proposed placeholder budgets. The first proposed budget assumes no
39 cuts to funding and is substantially the same as the budget approved by the
40 Commission at the November 19, 2020 meeting. The second proposed budget
41 attempts to accommodate a 10% cut. Executive Director Gluck said it appears the
42 office can sustain a 7% reduction in funding without having to cut staff salaries.
43 Executive Director Gluck also provided a memo sent to the Speaker of the House
44 explaining the proposed cuts and detailing the Commission's prudent spending record
45 thus far.

1 Commissioner Gaulty asked for information regarding the current lease
2 agreement for the Commission's office. Executive Director Gluck said that the
3 Commission is about halfway through its lease agreement, and that he will consider
4 requesting a discount in rent and/or the ability to stop rent payments if funds are not
5 allocated by the Legislature.
6
7

8 **Agenda Item No. VI: Update: Administrative Rules**

9

10 Executive Director Gluck said that the updated administrative rules took effect as
11 of November 28, 2020. Executive Director Gluck said that although he inquired about
12 the status of the rules on many occasions, he was not notified that the rules were
13 approved by the Governor and had already taken effect ten days after being filed with
14 the Office of the Lieutenant Governor. Staff informed affected parties, including
15 lobbyists and members of the Legislature, about the approved rules as soon as
16 possible.
17

18 Executive Director Gluck said that because the changes to the administrative
19 rules were open for discussion for a long time, he has not received any great backlash
20 or criticism from lobbyists regarding transitioning to the new requirements. However,
21 several members of the Legislature seemed quite upset about the changes, and
22 Executive Director Gluck was meeting with the majority caucuses of both the House of
23 Representatives and the Senate to address their concerns.
24

25 Executive Director Gluck said that in his meeting with the majority caucus of the
26 House of Representatives, he fielded numerous questions and complaints, including:
27 (1) questions about the applicability of the gifts law in numerous hypothetical scenarios,
28 (2) questions about gifts between legislators and/or to legislative staff, (3) contentions
29 that the gifts reporting law, which requires that all gifts over \$200 be disclosed, implies
30 that gifts under \$200 may be accepted, (4) questions about the influence of campaign
31 donations, and (5) questions about attending community events. The overall sentiment
32 was that the new administrative rules are an "extreme" interpretation of the law and an
33 unreasonable overreach by the Commission. Executive Director Gluck was also
34 questioned about the Commission's authority to promulgate the rules and the timing of
35 the rules' implementation.
36

37 Executive Director Gluck said that he believes the Commission promulgated the
38 rules correctly and that there were no errors with the rules or their development.
39 Executive Director Gluck said that while it may take some time for the affected parties to
40 adjust to the new rules, he believes the rules are good for ethics and he remains
41 committed to answering the Legislature's questions as best as possible.
42

43 Commissioner Fong asked for clarification on a few hypothetical gifts and for any
44 feedback the office has received from lobbyists. Executive Director Gluck said that
45 while legislators cannot accept Christmas gifts from lobbyists, such as a box of
46 chocolate macadamia nuts, legislators are allowed to accept a certain number of tickets
47 to a non-profit's annual event (up to a certain dollar amount), lei, and small gifts of no

1 market value, such as branded pens and calendars. Executive Director Gluck said that
2 he has received questions from lobbyists about the rules, but overall they seem
3 amenable or neutral to the changes.

4
5 Staff Attorney Chang and Executive Director Gluck said that legislators also
6 expressed concern that no one will attend a non-profit's briefing if the non-profit is
7 unable to provide participants food. Staff Attorney Chang said that the new rules will
8 help to level the playing field; non-profits who do not have the resources to give gifts or
9 food to legislators will no longer have that disadvantage in comparison to well-funded
10 lobbyists.

11
12 Executive Director Gluck said that while the applicability of gifts rules hinge on
13 whether the giver is a lobbyist "seeking action" from the Legislature, the general rule he
14 communicated to the legislators is to not accept gifts or free food and/or to pay for their
15 own meals. He also confirmed that the gifts rules apply to all state employees, and not
16 just legislators.

17 18 19 **Agenda Item No. VII: 2021 Legislative Session**

20
21 Executive Director Gluck said that, given the Legislature's current focus on
22 COVID-19 – as well as the Legislature's reaction to the new administrative rules – he
23 recommends that the Commission refrain from introducing legislation in the 2021
24 regular session.

25
26 Commissioner Grauly made and Vice Chair Wood seconded a motion to refrain
27 from introducing any legislation in the 2021 regular session. The motion carried
28 unanimously (Tschumy, Wood, Grauly, and Fong voting).

29 30 31 **Agenda Item No. VIII: *Akana v. Hawaii State Ethics Commission and Daniel*** 32 ***Gluck, Civil No. 18-1-1019-06 (JHA); Akana v. Hawaii State Ethics Commission,*** 33 ***Civil No. 19-1-0379-03 (JHA); State of Hawaii, Ethics Commission v. Rowena*** 34 ***Akana, Civil No. 20-1-0453 (BIA)***

35
36 Executive Director Gluck said that the Department of the Attorney General has
37 been busy with other cases and has not filed anything since the Commission's last
38 meeting.

39 40 41 **ADJOURNMENT OF SUNSHINE LAW MEETING**

42
43 At approximately 9:34 a.m., Commissioner Fong made and Commissioner
44 Grauly seconded a motion to adjourn the Sunshine Law Meeting. The motion carried
45 unanimously (Tschumy, Wood, Grauly, and Fong voting).

46
47 The meeting was adjourned at 9:34 a.m.

1 Minutes approved on: _____

DRAFT

SUNSHINE LAW MEETING
AGENDA ITEM II

ELECTION OF OFFICERS FOR 2021

Attachment 1: Election of Officers for 2021

SUNSHINE LAW MEETING
AGENDA ITEM II

ELECTION OF OFFICERS FOR 2021

At this meeting, in accordance with Section 21-1-10 of the Commission's Administrative Rules, the Commission should elect a Chair and Vice Chair for 2021. Section 21-1-10 of the Commission's Rules provides as follows:

§21-1-10 Chairperson and vice-chairperson. (a) A chairperson shall be elected by a majority of all the members to which the commission is entitled, who shall serve for a term of one year or until a successor is elected, with such term to commence on January 1 of each year.

(b) A vice-chairperson, who shall call and chair meetings in the incapacity or absence of the chairperson, shall be elected by a majority of all the members to which the commission is entitled, and shall serve for a term of one year or until a successor is elected, with such term to commence on January 1 of each year.

The following table is provided for your information.

Commissioner	Date Appointed	Term End	Date of Reappointment	Term End
Ruth D. Tschumy*	8/26/2013	6/30/2017	7/01/2017	6/30/2021
Melinda S. Wood**	7/07/2014	6/30/2018	7/01/2018	6/30/2022
Reynard D. Gaulty***	7/01/2015	6/30/2019	7/01/2019	6/30/2023
Wesley Fong	7/01/2018	6/30/2022		

* Commissioner Tschumy has served as Chair from July 2020 to present and served as Vice Chair from January 2018 to June 2020.

** Commissioner Wood has served as Vice Chair from July 2020 to present.

*** Commissioner Gaulty served as Chair from February 2017 to June 2020.

(Note: Commissioners may be appointed to two consecutive four-year terms.)

SUNSHINE LAW MEETING
AGENDA ITEM III

EXECUTIVE DIRECTOR'S REPORT

- Attachment 1: Executive Director's Report
- Attachment 2: 2020-2021 Training Attendance / Schedule
- Attachment 3: Online Training Completions by Department
- Attachment 4: 2020 Guidance and Assignment Statistics / Website Traffic
- Attachment 5: Q2 Financial Report FY 2020-2021

SUNSHINE MEETING
AGENDA ITEM III

EXECUTIVE DIRECTOR'S REPORT
January 21, 2021

1. Education / Training Report

All in-person trainings have been postponed indefinitely due to COVID-19.

Attachment 2: 2020-2021 Training Attendance / Schedule

Attachment 3: Online Training Completions by Department

2. Guidance and Assignment Statistics – December 2020

Attachment 4: 2020 Guidance and Assignment Statistics / Website Traffic

3. Financial Report for FY 2020-2021 (Oct. 1, 2020 – Dec. 31, 2020)

Attachment 5: Q2 Financial Report FY 2020-2021

4. Ethics Survey of State Employees

5. Upgrades to E-filing System

6. Miscellaneous Office Projects / Updates

HAWAII STATE ETHICS COMMISSION 2020 EDUCATION PROGRAM (Ethics Workshops and Presentations)		
DATE	PRESENTATIONS	NUMBER OF PARTICIPANTS
1/2/2020	General Ethics Training, House of Representatives Staff Training	99
1/7/2020	General Ethics Training, Windward Community College	122
1/13/2020	General Ethics Training, State Capitol, Honolulu	30
1/14/2020	Lobbyists Law Training, State Capitol, Honolulu	30
2/24/2020	General Ethics Training, DCCA, Supervisors	32
2/24/2020	General Ethics Training, DCCA, Non-Supervisors	58
2/25/2020	General Ethics Training, DCCA, Supervisors	24
2/25/2020	General Ethics Training, DCCA, Non-Supervisors	61
2/27/2020	General Ethics Training, DCCA, Supervisors	24
2/27/2020	General Ethics Training, DCCA, Non-Supervisors	69
3/17/2020	General Ethics Training, Hilo (CANCELLED)	0
3/20/2020	General Ethics Training, DOT, Harbors (2 sessions) (CANCELLED)	0
3/24/2020	General Ethics Training, Honolulu (CANCELLED)	0
3/25/2020	General Ethics Training, Lihue (CANCELLED)	0
4/6/2020	General Ethics Training, Kona (CANCELLED)	0
4/21/2020	General Ethics Training, DLNR, DOFAW (CANCELLED)	0
4/28/2020	General Ethics Training, DOH Executive Committee, Honolulu (CANCELLED)	0
5/8/2020	General Ethics Training, Kahului (CANCELLED)	0
5/12/2020	General Ethics Training, Pearl City (CANCELLED)	0

9/15/2020	General Ethics Training, Youth Commission, Microsoft Teams	16
10/20/2020	General Ethics Training, Honolulu (CANCELLED)	0
10/13/2020	General Ethics Training, Commission on the Status of Women, Zoom	7
10/20/2020	General Ethics Training, Zoom	6
11/5/2020	General Ethics Training, HHSC - Maluhia & Leahi Hospitals, Zoom	48
11/6/2020	General Ethics Training, Zoom	64
11/23/2020	General Ethics Training, Zoom	81
12/2/2020	General Ethics Training, Zoom	198
12/9/2020	General Ethics Training, Zoom	76
12/14/2020	Ethics for State Government Attorneys, Zoom	101
12/15/2020	Ethics for State Government Attorneys, Zoom	50
TOTAL	31 Presentations	1196 participants

HAWAII STATE ETHICS COMMISSION**2021 EDUCATION PROGRAM****(Ethics Workshops and Presentations)**

DATE	PRESENTATIONS	NUMBER OF PARTICIPANTS
1/6/2021	General Ethics Training, Lobbyists Law Training, Zoom	111
1/7/2021	General Ethics Training, DOE, Principals, Executive Officers, Complex Area Superintendents, Zoom	74
1/11/2021	General Ethics Training, Employees' Retirement System, Zoom	20
1/12/2021	General Ethics Training, Lobbyists Law Training, Zoom	77
1/14/2021	General Ethics Training, Senate staff, interns, and volunteers, Zoom	50
1/22/2021	General Ethics Refresher Training, Legislators and staff, Zoom	
1/26/2021	General Ethics Training, Zoom	
1/28/2021	General Ethics Training, HPHA, Zoom	
3/25/2021	General Ethics Training, HTA, Zoom	
TOTAL	9 Presentations	332 participants

Online Training Completions by Department

Department/Agency	# of Completions
Department of Transportation (DOT)	212
Department of Human Services (DHS)	200
Department of Health (DOH)	192
Department of Education (DOE)	166
Department of the Attorney General (ATG)	98
University of Hawaii-Manoa (UOHM)	93
Department of Defense (DOD)	72
Department of Land and Natural Resources (DLNR)	65
University of Hawaii-Community Colleges (UOHC)	63
Department of Accounting and General Services (DAGS)	63
Department of Business, Economic Development and Tourism (DBEDT)	57
University of Hawaii-West Oahu (UOHW)	49
Department of Commerce and Consumer Affairs (DCCA)	47
Other (Please fill-in "Other Department/Agency")	44
Department of Labor and Industrial Relations (DLIR)	41
Department of Public Safety (DPS)	40
Hawaii Health Systems Corporation (HHSC)	39
Judiciary (JUD)	38
Department of Agriculture (DOA)	36
University of Hawaii-System (UOH)	36
Office of the Governor (GOV)	8
Public Charter School (SPCSC)	7
Research Corporation of the University of Hawaii (RCUH)	6
Office of Hawaiian Affairs (OHA)	5
Office of the Lieutenant Governor (LTG)	4
Department of Human Resources Development (DHRD)	4
Office of the Auditor (AUD)	3
Senate (SEN)	3
Office of the Ombudsman (OMB)	2
Hawaii State Ethics Commission (HSEC)	1
Department of Taxation (TAX)	1
Department of Budget and Finance (B&F)	1
Hawaii State Public Library System (HSPLS)	1

Total Certificate of Completions Issued**1697**

As of January 6, 2021

2020

Jan Feb Mar Apr May June July Aug Sept Oct Nov Dec Year to date

Training statistics

# of In-Person Trainings	4	6	0	0	0	0	0	0	0	0	0	0	10
# of People Trained In Person	281	268	0	0	0	0	0	0	0	0	0	0	549
# of On-Line Training	403	182	190	76	49	33	153	208	108	18	103	169	1692
# of eTrainings	0	0	0	0	0	0	0	0	1	2	3	4	10
# of People Trained in eTraining	0	0	0	0	0	0	0	0	16	13	193	425	647

Attorney of the Day

148	96	79	61	72	51	86	57	68	74	62	96	950
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New assignments

Advisory Opinion	0	0	0	0	0	0	0	0	0	0	0	1	1
Complaint	7	7	8	8	8	5	17	12	19	19	9	10	129
Gifts/Invitations/Travel	16	12	3	1	0	0	0	0	1	1	0	0	34
Guidance	2	2	0	2	0	1	3	3	1	1	0	0	15
Judicial Selection Comm'n	1	5	3	2	2	1	2	3	5	3	2	1	30
Training Request	0	0	0	0	0	0	0	0	0	0	1	0	1
Record Request	1	1	2	0	0	3	0	0	1	1	0	0	9
Project/Other	3	3	3	1	1	1	2	3	3	2	2	3	27
Total	30	30	19	14	11	11	24	21	30	27	14	15	246

Closed Assignments

Advisory Opinion	0	1	1	0	0	0	0	0	0	0	0	0	2
Complaint	8	3	9	11	10	8	10	19	11	7	13	3	112
Gifts/Invitations/Travel	22	5	11	5	1	1	3	0	0	2	0	0	50
Guidance	4	1	5	2	1	3	21	2	2	1	2	0	44
Judicial Selection Comm'n	1	4	2	0	6	1	2	2	6	2	2	0	28
Training Request	1	0	0	0	0	0	0	0	0	0	0	0	1
Record Request	3	2	1	0	0	1	0	1	1	0	0	0	9
Project/Other	3	1	2	5	1	1	1	4	1	2	1	1	23
Total	42	17	31	23	19	15	37	28	21	14	18	4	269

Anti-Fraud

6

19

25

*1 Anti-Fraud complaint also counted as Ethics complaint

Dataset Views

*State terminated Socrata contract;
stats no longer accessible

Disclosures	97	91	64	58	84	76	76	23	X	X	X	X	569
Lobbyist Registrations	364	276	221	113	127	119	131	166	X	X	X	X	1,517
Organization Expenditures	67	51	27	11	12	21	39	8	X	X	X	X	236
Lobbyist Expenditures	53	44	25	17	24	15	26	12	X	X	X	X	216
Ethics Advice	108	112	66	39	59	54	56	28	X	X	X	X	522
Total	689	574	403	238	306	285	328	237	0	0	0	0	3,060

HAWAII STATE ETHICS COMMISSION FINANCIAL REPORT
 FY 2021 (QUARTER ENDING: December 31, 2020)
 Appropriation Symbol: G-21-393-Y6

	Amount Appropriated FY 2020-2021	Expenditures for Qtr. End 9/30/2020	Expenditures for Qtr. End 12/31/2020	Expenditures for Qtr. End 3/31/2021	Expenditures for Qtr. End 6/30/2021	Year-To-Date Expenditures Totals	% of Budget Expended
A. PERSONNEL SERVICES							
Staff Salaries	\$ 1,012,719.00	\$ 243,622.50	\$ 243,687.50	\$ 0.00	\$ 0.00	487,310.00	48.1%
Vacation Payout		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Total Personnel Services	\$ 1,012,719.00	\$ 243,622.50	\$ 243,687.50	\$ 0.00	\$ 0.00	\$ 487,310.00	48.1%
B. OTHER CURRENT EXPENSES							
Office Expenses	12,500.00	3,099.75	4,240.00	0.00	0.00	7,339.75	58.7%
Intrastate Transportation and Travel	9,250.00	13.50	36.00	0.00	0.00	49.50	0.5%
Out-of-State Travel	12,660.00	0.00	0.00	0.00	0.00	0.00	0.0%
Equipment Rental and Maintenance	31,600.00	0.00	2,535.00	0.00	0.00	2,535.00	8.0%
Dues, Subscriptions, Training	16,410.00	1,747.71	3,942.01	0.00	0.00	5,689.72	34.7%
Newspaper Advertisements	1,100.00	0.00	0.00	0.00	0.00	0.00	0.0%
Comm'n Mtgs, Investigations, Hrgs	11,600.00	515.00	408.00	0.00	0.00	923.00	8.0%
Consulting Services	26,900.00	0.00	0.00	0.00	0.00	0.00	0.0%
Office Rent	113,928.00	14,343.56	27,509.94	0.00	0.00	41,853.50	36.7%
Total Other Current Expenses	\$ 235,948.00	\$ 19,719.52	\$ 38,670.95	\$ 0.00	\$ 0.00	\$ 58,390.47	24.7%
C. CAPITAL OUTLAY							
Office Furniture and Equipment	4,000.00	0.00	0.00	0.00	0.00	0.00	0.0%
Total Capital Outlay	\$ 4,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.0%
GRAND TOTAL (A+B+C)	\$ 1,252,667.00 ¹	\$ 263,342.02	\$ 282,358.45	\$ 0.00	\$ 0.00	\$ 545,700.47	43.6%
VACATION		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	

General Fund Allocation \$ 1,252,667.00
 Total Expenditures as of December 31, 2020 \$ 545,700.47
 Balance as of December 31, 2020 \$706,966.53

¹ \$1,252,667 awarded by Act 1, SLH 2020 - Appropriation 393.

SUNSHINE LAW MEETING
AGENDA ITEM IV

BUDGET: 2021-2022

No attachments.

SUNSHINE LAW MEETING
AGENDA ITEM V
2021 LEGISLATIVE SESSION

No attachments.

SUNSHINE LAW MEETING
AGENDA ITEM VI

AKANA v. HAWAII STATE ETHICS COMMISSION AND DANIEL GLUCK,
CIVIL NO. 18-1-1019-06 (JHA); AKANA v. HAWAII STATE ETHICS COMMISSION,
CIVIL NO. 19-1-0379-03 (JHA); STATE OF HAWAII, ETHICS COMMISSION v.
ROWENA AKANA, CIVIL NO. 20-1-0453 (BIA)

Discussion of case status.

The Hawai'i State Ethics Commission may convene an executive session pursuant to Hawai'i Revised Statutes section 92-5(a)(4) to consult with the Commission's attorneys on questions and issues pertaining to the Commission's powers, duties, privileges, immunities, and liabilities.

No attachments.