



HAWAII STATE ETHICS COMMISSION

State of Hawaii • Bishop Square, 1001 Bishop Street, ASB Tower 970 • Honolulu, Hawaii 96813

NOTICE OF MEETING OF THE HAWAII STATE ETHICS COMMISSION

Date: Thursday, April 20, 2017
Time: 10:00 a.m.
Place: Hawaii State Ethics Commission Conference Room
American Savings Bank Tower
1001 Bishop Street, Suite 960
Honolulu, Hawaii 96813

A G E N D A

CALL TO ORDER

- I. Consideration and Approval of the Minutes of the March 17, 2017, Meeting

- II. Consideration and Approval of the Executive Session Minutes of the March 17, 2017, Meeting

The Hawaii State Ethics Commission may convene an executive session pursuant to Hawaii Revised Statutes section 92-5(a)(4) to consult with the Commission's attorneys on questions and issues pertaining to the Commission's powers, duties, privileges, immunities, and liabilities.

- III. Executive Director's Report
 1. Education / Training Report
 2. Guidance and Assignment Statistics
 3. 2017 Financial Disclosures

If you require an accommodation because of a disability, please contact the Hawaii State Ethics Commission by telephone at (808) 587-0460, by facsimile at (808) 587-0470 (fax), or via email at ethics@hawaiiethics.org.

4. Financial Report for FY2016-2017 (Third Quarter Ending March 31, 2017)
 - a. Attachment 1: Fiscal Year 2016-2017 Third Quarter Report
 - b. Attachment 2: Fiscal Year 2016-2017 Projected Year-End Report
5. Electronic Filing System Update
6. Ethics Awards
7. Miscellaneous Office Projects / Updates

IV. Legislative Update

Update on bills upon which the Ethics Commission has testified, including:

- a. Bills introduced at the request of the Ethics Commission:
 - i. Still alive:
 1. [HB 508, HD1, SD1](#): Increases fines for violations of the ethics code. Clarifies the ethics code's language regarding the assessment of fines in the context of a settlement agreement.
 2. [HB 511, HD1, SD1](#): Increases fines for violations of the lobbyists law, HRS chapter 97. Amends the definitions of "expenditure," "lobbyist," and "lobbying." Allows a person who employs a lobbyist to file a notice of termination. Amends reporting requirements for reports covering special sessions of the legislature. Requires that lobbyist registration statements be posted on the state ethics commission's website within a reasonable time after filing and remain on the website for at least 4 years.
 3. [HB 852, HD2, SD1](#) Increases fines for failures to timely file financial disclosure statements. Allows the state ethics commission to use e-mail or first-class mail to notify those individuals who fail to timely file their financial disclosure statements. Allows the commission to publish the names of individuals who fail to file their financial disclosure statements by the statutory deadline.

ii. Dead:

1. [HB 290/SB 458](#): “Omnibus” bill (contains all the provisions of the other six bills).
2. [HB509/SB 875](#): Clarifies how the Ethics Commission may provide guidance and resolve allegations of violations. (Both measures dead.)
3. [HB510/SB 1089](#): Clarifies service and conflict disclosure provisions relating to task force members, designees, and representatives. (Both measures dead; a similar measure, [HB 98](#), is also dead.)
4. [HB512/SB872](#): Housekeeping measure to clarify that the Ethics Commission can hire its own attorneys. SB872 passed the Senate and the House Judiciary Committee, but was not heard by the House Finance Committee by the Second Decking deadline.

b. Other bills that are still alive:

- i. [HB 425](#): Makes certain sections of the State Code of Ethics inapplicable to technology transfer activities sponsored by University of Hawaii if the activities comply with the regulatory framework and research compliance program approved by the Board of Regents. Requires the Board of Regents to submit written status reports. Ethics Commission opposes.
- ii. [HB 110](#): Makes appropriation for the public employment cost items of and cost adjustments for employees of the various legislative agencies. Appropriates funds.
- iii. [HB 847](#): Establishes the Innovation and Commercialization Initiative Program to expressly give the University of Hawaii the legal authority to create, promote, and participate in new economic enterprises and expand workforce opportunities based on inventions and discoveries generated by or at the University. Ethics Commission submitted comments.
- iv. [HB165](#): Relating to Public Meetings. Makes some changes to the Sunshine Law, including requiring board packets to be made available for public inspection prior to board meetings. The Ethics

Commission has not testified on this measure, but would be affected if it passes. Most of the proposed changes – such as making board packets available in advance to the public – are things the Commission already does.

V. 2017 COGEL Conference – Toronto, Ontario, Canada

VI. Agenda topics for May meeting

Discussion of topics to be set on the May meeting agenda, including substantive discussion on proposed administrative rules.

VII. Adjournment