



HAWAII STATE ETHICS COMMISSION

State of Hawaii • Bishop Square, 1001 Bishop Street, ASB Tower 970 • Honolulu, Hawaii 96813

TESTIMONY OF THE HAWAII STATE ETHICS COMMISSION

BILL NO: H.B. No. 2650, H.D. 1, MAKING APPROPRIATIONS TO PROVIDE FOR THE EXPENSES OF THE LEGISLATURE, THE AUDITOR, THE LEGISLATIVE REFERENCE BUREAU, THE OMBUDSMAN, AND THE ETHICS COMMISSION

TO: The Honorable David Y. Ige, Chair
The Honorable Michelle N. Kidani, Vice Chair
The Honorable Members
Senate Committee on Ways and Means
State Capitol, Room 208
415 South Beretania Street
Honolulu, Hawaii 96813

FROM: Hawaii State Ethics Commission

TESTIFYING: Leslie H. Kondo, Executive Director
Hawaii State Ethics Commission

EXHIBITS: Nos. 1 - 5

DATE: Wednesday, February 19, 2014

TIME: 9:00 a.m.

ROOM: Conference Room 211

The Hawaii State Ethics Commission supports H.B. No. 2650, H.D. 1, as it relates to the Commission's appropriation of \$909,531 for FY 2014-2015. The Commission appreciates the legislature's support of the Commission's work to ensure that public officers and employees exhibit the highest standards of ethical conduct as mandated by Article XIV of the Hawaii State Constitution.

For FY 2014- 2015, the Commission respectfully requests \$909,531, as provided by H.B. No. 2650, H.D. 1, which is the same amount appropriated to the Commission by Act 1 in 2013 for the current fiscal year, FY 2013-2014.¹

¹ Act 138, 2013 Session Laws of Hawaii, also appropriated \$48,708 to the Commission for FY 2013-2014 to fund cost adjustments for legislative officers and employees excluded from collective bargaining.

I. Duties of the Hawaii State Ethics Commission

The Commission is responsible for the administration and enforcement of the State Ethics Code and the State Lobbyists Law, Chapters 84 and 97, Hawaii Revised Statutes (“HRS”), respectively. The State Ethics Code includes laws relating to the acceptance and reporting of gifts, confidential information, “fair treatment” or the prohibited misuse of official position, conflicts of interests, state contracts, and post-employment restrictions. The State Ethics Code also includes a provision requiring the reporting of financial interests by state legislators, state employees, and candidates for state elective office. The State Lobbyists Law, which applies to lobbying activities at the state level, requires lobbyists to register with the Commission, and requires lobbyists and organizations that lobby to report lobbying expenditures and contributions on forms filed with the Commission.

The Commission has five members, who are nominated by the State Judicial Council and appointed by the Governor for four-year terms. The current members of the Commission are Cassandra J. Leolani Abdul (Chairperson), Edward L. Broglio (Vice Chairperson), Susan N. DeGuzman, Ruth D. Tschumy, and David O’Neal.

The Commission currently employs a staff of ten: an executive director and an associate director; four staff attorneys; a computer specialist; and three secretarial staff. Despite the small size of the agency and other limited resources, the Commission’s responsibilities are extensive.

For purposes of the State Ethics Code, the Commission has jurisdiction over approximately 60,000 state employees, including all appointed and elected state officials and employees, state legislators, employees of the legislative, executive, and judicial branches of government (with the exception of judges), and members of all state boards and commissions. The State Ethics Code’s financial disclosure law also applies to all candidates for state elective office. For purposes of Chapter 97, HRS, the Commission has jurisdiction over approximately 300 lobbyists and 300 organizations who lobby the state legislature or executive branch.

A. Ethics Education

The Commission is mandated by law to educate state officials and employees about ethics in government.² To fulfill this mandate, the Commission conducts ethics training sessions throughout the year. In 2013, the Commission conducted ethics training for nearly 1,800 state officials and employees, including 275 Department of Education principals, vice principals, coaches, and administrators, and 355 Judiciary employees

² HRS §84-31(a)(7).

on Oahu and the neighbor islands. Training sessions for 440 state employees were held at the Hawaii Convention Center and State Capitol Auditorium, and, for the first time, at Hale Pono'i in Kapolei for over 110 state employees working in West Oahu. In 2013, the Commission also conducted ethics training for over 350 neighbor island employees on Maui, Kauai, and Hawaii island (Hilo and Kona).

The Commission believes that it is critical to provide ethics training for state employees to improve their general awareness of ethics and to prevent unknowing violations of the State ethics laws. In fact, for a surprising number of employees, the ethics training is their first exposure to the State Ethics Code.

B. Advisory Opinions and Guidance

The Commission also issues advisory opinions and other types of guidance about the application of the State Ethics Code and the State Lobbyists Law.³ Every year, the Commission's attorneys respond to hundreds of requests for advice about these laws. In 2013, the Commission's attorneys received and responded to over 1,030 requests for advice from state legislators, state employees, lobbyists, and members of the public. The Commission's attorneys also issued approximately 150 staff opinion letters advising state employees and others about the application of the State Ethics Code and the State Lobbyists Law. The Commission considers its ability to provide timely and meaningful guidance and advice to be one of its most important functions.

C. Charges and Investigations

The Commission is also mandated to enforce the State Ethics Code and the State Lobbyists Law.⁴ The Commission receives and reviews complaints and conducts investigations on a confidential basis concerning alleged violations of the law. When appropriate, the Commission initiates formal charges against individuals who appear to have violated the law.⁵ If there is probable cause to believe that a violation of the law has occurred, the Commission may hold a contested case hearing in accordance with HRS Chapter 91, Hawaii's Administrative Procedure Act.

³ HRS §84-31(a)(2) and §97-6(a)(3).

⁴ HRS §84-31(a) and §97-6(a).

⁵ A "charge" is a formal complaint alleging a violation of one or more provisions of the State Ethics Code or the Lobbyists Law. Charges may be filed by the Commission or by an individual or organization. Charges must be in writing and must be signed by the person making the charge under oath or, if initiated by the Commission, must be signed by three or more members of the Commission. HRS §84-31(b).

In 2013, the Commission experienced a sharp increase in the number of complaints and formal charges alleging violations of the State Ethics Code and State Lobbyists Law. In 2013, the Commission received 84 complaints -- more than double the number of complaints received in 2012; and received or initiated 17 charges,⁶ -- again, more than double the number of charges in 2012. The increased number of complaints and charges has required more staff resources to be dedicated to the Commission's enforcement activities. Many of the cases have involved extensive investigations and have resulted in state employees paying relatively substantial administrative fines for actions that the Commission believed were inconsistent with the minimum standards of conduct established in the State Ethics Code.

D. Financial Interests Disclosures, Gifts Disclosures, and
Lobbying Registrations and Reports

The Commission also administers the filing requirements of the financial disclosure law, the gifts disclosure law, and the lobbying registration and reporting laws. These filing requirements help provide accountability and transparency in government. In 2013, the Commission received over 1,400 financial interests disclosure statements from state officials and employees, and approximately 120 gifts disclosure statements. The Commission also received over 300 lobbyist registrations and over 1,600 lobbying expenditures and contributions reports in 2013.

Additional information about the Commission's educational, advisory, and enforcement activities in 2013 is attached.

II. Budget Request for FY 2014-2015

The Commission is requesting an appropriation of \$909,531 for FY 2014-2015. This is the same amount appropriated to the Commission for the current fiscal year by Act 1 of the 2013 Session Laws of Hawaii.⁷

⁶ In 2013, eight charges were filed by an individual or organization; nine charges were initiated by the Commission.

⁷ Act 138, 2013 Session Laws of Hawaii, also appropriated \$99,364 to the Commission for FY 2014-2015 to fund cost adjustments for legislative officers and employees excluded from collective bargaining.

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In contrast to most state agencies, including the other legislative service agencies, the Commission is located in a private building (i.e., a non-state facility) and must allocate funds within its budget for office rent. The Commission's lease provides for annual increases in rent as well as increases for common area maintenance and storage costs. For FY 2014-2015, the Commission anticipates its office rent and other lease-related expenses to be approximately \$98,000. The Commission's proposed budget, minus the anticipated amount of its lease rent obligation is \$811,531. The Commission has the smallest budget of the legislative service agencies. While the Commission strongly supports the operations and missions of the other legislative service agencies, the Commission also believes that its operations and mission are equally important and should be funded accordingly.

Thank you for your consideration of the Hawaii State Ethics Commission's testimony in support of H.B. No. 2650, H.D. 1.

HAWAII STATE ETHICS COMMISSION
Statement Showing Budget for FY 2014-15
(With Comparative Amounts for FY 2013-14)

	2013-2014 Approved Budget	2014-2015 Estimated Expenditures	Variance
PERSONAL SERVICES:			
Staff salaries	\$ 717,204.00	\$ 717,204.00	\$ -
Total Personal Services	<u>717,204.00</u>	<u>717,204.00</u>	<u>-</u>
OTHER CURRENT EXPENSES:			
Office expenses	15,524.00	15,524.00	-
Intrastate transportation and travel	10,000.00	8,000.00	(2,000.00)
Out-of-state travel	6,490.00	6,490.00	-
Equipment rental and maintenance	10,985.00	10,985.00	-
Dues, subscriptions, training	13,670.00	13,670.00	-
Newspaper advertisements	1,600.00	1,600.00	-
Commission meetings, investigations, and hearings	25,500.00	25,500.00	-
Consulting services	9,558.00	9,558.00	-
Office furniture and equipment	3,000.00	3,000.00	-
Office rent	96,000.00	98,000.00	2,000.00
Total Other Expenses	<u>192,327.00</u>	<u>192,327.00</u>	<u>-</u>
Total Budget	<u>\$ 909,531.00</u>	<u>\$ 909,531.00</u>	<u>\$ -</u>
 Act 138, 2013 SLH ¹	 <u>\$ 48,708.00</u>	 <u>\$ 99,364.00</u>	
Total Including Act 138, 2013 SLH	\$ 958,239.00	\$ 1,008,895.00	

¹ Act 138, 2013 Session Laws of Hawaii, appropriated funds for FY 2013-2014 and FY 2014-2015 for cost adjustments for legislative officers and employees excluded from collective bargaining.

HAWAII STATE ETHICS COMMISSION
Statement Showing Budget for Office Operations
By Object of Expenditure for FY 2014-15

Operating Budget**PERSONAL SERVICES:**

Staff salaries	<u>\$ 717,204.00</u>
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Total Personal Services	<u>717,204.00</u>
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OTHER CURRENT EXPENSES:

Office expenses	15,524.00
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Intrastate transportation and travel	8,000.00
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Out-of-state travel	6,490.00
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Rental and maintenance of equipment	10,985.00
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Dues, subscriptions, training	13,670.00
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Newspaper advertisements	1,600.00
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Commission meetings, investigations, and hearings	25,500.00
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Consulting services	9,558.00
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Office furniture and equipment	3,000.00
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Office rent	<u>98,000.00</u>
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Total Other Expenses	<u>192,327.00</u>
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Total Operating Budget	<u><u>\$ 909,531.00</u></u>
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HAWAII STATE ETHICS COMMISSION
Statement Showing Budget and Estimated Expenditures
By Object of Expenditure for FY 2013-14

	2013-2014 Approved <u>Budget</u>	2013-2014 Estimated <u>Expenditures</u>	<u>Variance</u>
PERSONAL SERVICES:			
Staff salaries	\$ 717,204.00	\$ 717,204.00	\$ -
Total Personal Services	\$ 717,204.00	\$ 717,204.00	\$ -
OTHER CURRENT EXPENSES:			
Office expenses	15,524.00	15,524.00	-
Intrastate transportation and travel	10,000.00	10,000.00	-
Out-of-state travel	6,490.00	6,490.00	-
Equipment rental and maintenance	10,985.00	10,985.00	-
Dues, subscriptions, training	13,670.00	13,670.00	-
Newspaper advertisements	1,600.00	1,600.00	-
Commission meetings, investigations, and hearings	25,500.00	25,500.00	-
Consulting services	9,558.00	9,558.00	-
Office furniture and equipment	3,000.00	3,000.00	-
Office rent	96,000.00	96,000.00	-
Total Other Expenses	\$ 192,327.00	\$ 192,327.00	\$ -
Total Budget	\$ 909,531.00	\$ 909,531.00	\$ -
 Act 138, 2013 SLH ¹	 48,708.00	 48,708.00	 -
Total Including Act 138, 2013 SLH	\$ 958,239.00	\$ 958,239.00	-

¹ Act 138, 2013 Session Laws of Hawaii, appropriated \$48,708 to the Commission to fund cost adjustment: for legislative officers and employees excluded from collective bargaining.

Hawaii State Ethics Commission Major Functions

I STATE'S ETHICS LAW	II STATE'S LOBBYISTS LAW	III FINANCIAL DISCLOSURE LAW	IV GIFTS DISCLOSURE LAW	V CANDIDATES FINANCIAL DISCLOSURE LAW	VI EDUCATION RE: LAWS	VII LEGISLATION	VIII WEBSITE
Duties: • Administration • Legal Opinions • Enforcement • Rules	Duties: • Administration • Legal Opinions • Enforcement • Filings Per Year • Auditing • Rules	Duties: • Administration • Legal Opinions • Enforcement • Filings Per Year • Auditing • Rules	Duties: • Administration • Legal Opinions • Enforcement • Filings Per Year • Auditing • Rules	Duties: • Administration • Legal Opinions • Enforcement • Filings Per Election • Auditing • Rules	Duties: • Mandatory Sessions • Sessions Developed by Office • Publications	Duties: • State Ethics Code • State Lobbying Law • Financial Disclosure Law • Gifts Disclosure Law • Candidates Financial Disclosure Law	Duties: • Development • Updating • Maintenance • Technology

IX DISCLOSURE FILINGS	Disclosure Filing Date	Approximate Number of Disclosures	X ADMINISTRATION
Annual Financial Interests Disclosures Statements	May 31	1,428	Duties: • Management • Supervision • Fiscal • Personnel • Procurement • Technology • Planning
Candidates Financial Interests Disclosures Statements	20 days before primary*	***	
Gifts Disclosure Statements	June 30	118	
Lobbyist Registration Statements	January**	313	
Lobbying Organizations Expenditure Reports	January 31	306	
Lobbying Organizations Expenditure Reports	March 31	263	
Lobbying Organizations Expenditure Reports	May 31	281	
Lobbyists Expenditure Reports	January 31	285	
Lobbyists Expenditure Reports	March 31	222	
Lobbyists Expenditure Reports	May 31	254	

Note: State's Ethics Laws: Chapter 84, Hawaii Revised Statutes
State's Lobbyists Law: Chapter 97, Hawaii Revised Statutes

FULL-TIME STAFF: 10 Individuals

* Filed every even-numbered year only.

** Renewed every odd-numbered year for registered lobbyists.

*** Candidate's Financial Interests Disclosure Statements not filed in odd-numbered years.

Hawaii State Ethics Commission

Statistics for Calendar Year 2013

TABLE 1

HAWAII STATE ETHICS COMMISSION NUMBER OF REQUESTS FOR ADVICE AND COMPLAINTS RECEIVED BY ATTORNEYS IN 2013		
MONTH	REQUESTS FOR ADVICE	COMPLAINTS
January 2013	96	6
February 2013	89	20
March 2013	68	1
April 2013	85	5
May 2013	112	5
June 2013	72	6
July 2013	98	7
August 2013	70	9
September 2013	88	0
October 2013	90	12
November 2013	91	6
December 2013	74	7
TOTAL	1,035	84
Total Number of Requests for Advice and Complaints Received in 2013		1,119

TABLE 2

HAWAII STATE ETHICS COMMISSION ATTORNEY OPINION LETTERS ISSUED IN 2013	
MONTH	NUMBER OF LETTERS
January 2013	7
February 2013	2
March 2013	6
April 2013	9
May 2013	12
June 2013	14
July 2013	14
August 2013	10
September 2013	16
October 2013	24
November 2013	18
December 2013	16
TOTAL	148

TABLE 3

HAWAII STATE ETHICS COMMISSION INVESTIGATIONS OPENED IN 2013		
FILE NO.	DATE OPENED	DISPOSITION
2013-I-1	02/20/2013	Closed
2013-I-2	02/20/2013	Open
2013-I-3	02/20/2013	Closed
2013-I-4	03/28/2013	Closed
2013-I-5	04/10/2013	Charge No. 13-Cg-13
2013-I-6	05/29/2013	Open
2013-I-7	05/29/2013	Closed
2013-I-8	08/21/2013	Charge No. 13-Cg-8
2013-I-9	08/21/2013	Charge No. 13-Cg-12
2013-I-10	08/21/2013	Charge No. 13-Cg-14
2013-I-11	08/21/2013	Charge No. 13-Cg-15
2013-I-12	08/21/2013	Open
TOTAL:	12 Investigations	

TABLE 4

HAWAII STATE ETHICS COMMISSION FORMAL CHARGES* FILED IN 2013			
FILE NO.	ETHICS CHARGE	LOBBYING CHARGE	COMPLAINANT
CHAR-13-00123	X		Individual or Organization-CLOSED
CHAR-13-00124		X	Individual or Organization-CLOSED
CHAR-13-00125		X	Individual or Organization-CLOSED
CHAR-13-00127	X		Hawaii State Ethics Commission
CHAR-13-00143	X		Hawaii State Ethics Commission
CHAR-13-00144	X		Hawaii State Ethics Commission
CHAR-13-00194	X		Individual or Organization-CLOSED
CHAR-13-00195	X		Individual or Organization-CLOSED
CHAR-13-00196	X		Individual or Organization-CLOSED
CHAR-13-00246	X		Individual or Organization
CHAR-13-00270	X		Hawaii State Ethics Commission
CHAR-13-00291	X		Individual or Organization
CHAR-13-00351	X		Hawaii State Ethics Commission
CHAR-13-00352	X		Hawaii State Ethics Commission-CLOSED
INVES-13-00211	X		Hawaii State Ethics Commission
INVES-13-00247	X		Hawaii State Ethics Commission
INVES-13-00375	X		Hawaii State Ethics Commission
TOTAL: 17			

* A "charge" is a formal complaint alleging a violation of one or more provisions of the State Ethics Code, chapter 84, HRS, or the Lobbyists Law, chapter 97, HRS. Charges may be filed by an individual or organization or by the Hawaii State Ethics Commission. Charges that are filed by an individual or organization must be in writing and signed by the person making the charge under oath. Charges initiated by the Commission must be signed by three or more members of the Commission.

TABLE 5

HAWAII STATE ETHICS COMMISSION CONTESTED CASE HEARINGS IN 2013		
FILE NO.	COMPLAINANT	RESPONDENT
12-Cg-4 and 12-Cg-7	Hawaii State Ethics Commission	Kenneth Hovanian, Elevator Mechanics Licensing Board
TOTAL: 1		

Following a public hearing on October 16, 2013, the Hawaii State Ethics Commission found that Kenneth Hovanian, a member of the Elevator Mechanics Licensing Board, failed to file financial interests disclosure statements with the Commission in 2011 and 2012 in violation of Hawaii Revised Statutes §84-17 (the financial disclosure law). The Commission imposed an administrative fine of \$1,940¹ upon Hovanian and referred the matter to the Governor for appropriate disciplinary action. The Commission's Decision and Order are posted on the Commission's website at <http://hawaii.gov/ethics> (Hawaii State Ethics Commission v. Kenneth Hovanian, Charge No. 12-Cg-4 and Charge No. 12-Cg-7).

¹ A state official who fails to file a financial interests disclosure statement as required by the State Ethics Code is subject to an administrative fine of \$50 and an additional administrative fine of \$10 per day for each day a disclosure remains unfiled. See HRS §84-17(i).

TABLE 6

HAWAII STATE ETHICS COMMISSION FINANCIAL INTERESTS DISCLOSURE STATEMENTS FILED BY LEGISLATORS, EMPLOYEES, AND BOARD AND COMMISSION MEMBERS IN 2013	
Month	NUMBER FILED
January 2013	29
February 2013	121
March 2013	112
April 2013	144
May 2013	859
June 2013	107
July 2013	25
August 2013	8
September 2013	8
October 2013	6
November 2013	4
December 2013	5
TOTAL	1,428

TABLE 7

HAWAII STATE ETHICS COMMISSION GIFTS DISCLOSURE STATEMENTS FILED IN 2013	
STATE POSITION	NUMBER FILED
Governor, Lt. Governor	2
Legislators	53
Employees	46
Board / Commission Members	17
TOTAL	118

TABLE 8

HAWAII STATE ETHICS COMMISSION TOTAL NUMBER OF REGISTERED LOBBYISTS IN 2013 AND NUMBER OF CLIENTS REPRESENTED*		
YEAR	NUMBER OF LOBBYISTS	NUMBER OF CLIENTS REPRESENTED
2013	285	313

* Some clients are represented by more than one lobbyist. Each lobbyist must file a separate registration form for each client represented.

TABLE 9

HAWAII STATE ETHICS COMMISSION LOBBYING EXPENDITURES AND CONTRIBUTIONS REPORTS FILED IN 2013			
FILERS	REPORTING PERIODS		
	05/01/12-12/31/12 (Jan. 31, 2013 Report)	01/01/13-02/28/13 (Mar. 31, 2013 Report)	03/01/13-04/30/13 (May 31, 2013 Report)
LOBBYISTS	285	222	254
LOBBYISTS' CLIENTS	306	263	281
TOTAL REPORTS FILED	591	485	535
GRAND TOTAL	1,611		

TABLE 10

HAWAII STATE ETHICS COMMISSION TOTAL NUMBER OF DISCLOSURE FILINGS FOR 2013	
Financial Interests Disclosure Statements filed by Legislators, Employees, and Board and Commission Members	1,428
Gifts Disclosure Statements	118
Lobbying Expenditures and Contributions Reports	1,602
Lobbyist Registrations (Two-year period 2013-2014)	313
Notice of Intent to Award Contract Pursuant to HRS §84-15(a)	1
TOTAL	3,462

TABLE 11

HAWAII STATE ETHICS COMMISSION 2013 EDUCATION PROGRAM (Ethics Workshops and Presentations)		
DATE	PRESENTATIONS	NO. OF PARTICIPANTS
01/04/13	Mandatory Ethics Training*	20
02/12/13	Ethics Training for Department of Education (Leeward District School Administrators and Principals)	60
02/26/13	Ethics Training for Department of Education (Leeward District School Administrators and Vice Principals)	80
03/04/13	Mandatory Ethics Training* (Make-Up Session)	6
04/17/13	Ethics Training for The Judiciary (Oahu)	80
05/22/13	Pre-Retirement Seminar (Contributory & Non-Contributory Plans)	50
05/23/13	Pre-Retirement Seminar (Hybrid Plan)	75
07/27/13	Ethics Training for Department of Education (Kailua High School Coaches)	80
08/06/13	Ethics Briefing for Office of the Governor & Lt. Governor (Staff)	20

* HRS §84-42 requires newly elected and appointed high-ranking state officers and employees to complete a mandatory ethics training course administered by the Hawaii State Ethics Commission.

DATE	PRESENTATIONS	NO. OF PARTICIPANTS
08/08/13	Ethics Training for The Judiciary (Neighbor Island Employees via Web Conference)	160
08/15/13	Ethics Workshop for State Employees (Hilo)	120
08/23/13	Ethics Workshop for State Employees (Oahu - State Capitol Auditorium)	180
08/23/13	Ethics Workshop for State Employees (Kona)	40
08/28/13	Ethics Training for Public Utilities Commission Commissioners	3
08/30/13	Ethics Workshop for State Employees (Maui)	100
09/04/13	Ethics Workshop for State Employees (Oahu - Kapolei)	110
09/06/13	Ethics Training for The Judiciary (Kauai)	40
09/06/13	Ethics Workshop for State Employees (Kauai)	45
09/20/13	Ethics Training for The Judiciary (Oahu)	75
09/25/13	Pre-Retirement Seminar (Contributory & Non-Contributory Plans)	50

DATE	PRESENTATIONS	NO. OF PARTICIPANTS
09/26/13	Pre-Retirement Seminar (Hybrid Plan)	65
09/27/13	Ethics Workshop for State Employees (Oahu – Hawaii Convention Center)	220
10/18/13	Ethics Training for the Department of Transportation (Airports Division)	55
11/19/13	Ethics Training for the Department of Education (Kaimuki/Kaiser Complex Area Principals)	55
TOTAL	24 PRESENTATIONS	1,789 Participants

TABLE 12

**INFORMATION POSTED ON
HAWAII STATE ETHICS COMMISSION WEBSITE**

WEBSITE INTERNET URL: <http://www.hawaii.gov/ethics>

YEAR ESTABLISHED: 1998

- Search Engine (ENHANCED)
- News Releases
- Who We Are and What We Do
 - General Information about the Hawaii State Ethics Commission
 - Major Functions of the Hawaii State Ethics Commission
- Constitution, Statutes, and Rules
 - Article XIV, Constitution of the State of Hawaii
 - State Ethics Code, Chapter 84, HRS
 - State Lobbyists Law, Chapter 97, HRS
 - Title 21, Administrative Rules of the Hawaii State Ethics Commission
- Advisory Opinions, Informal Advisory Opinions, Decisions, Resolutions of Charge and Ethics Advisories
- Public Financial Disclosure Filings, Including Filings for Candidates for Public Office
- Gifts Disclosure Filings
- Lobbying Filings
 - Lobbyist Registration Statements
 - Lists of Registered Lobbyists
 - Lists of Organizations Represented by Registered Lobbyists
 - Registered Lobbyists' Lobbying Expenditure Statements
 - Organizations' Lobbying Expenditure Statements
 - Lists of Total Lobbying Expenditures Reported by Organizations
- Contract Notice Filings (Pursuant to HRS §84-15(a))

(continued)

TABLE 12 (continued)

- Publications and Guidelines
 - *The High Road* Newsletter
 - Ethics Guide
 - Ethics Checklist
 - Gifts
 - Campaigning
 - Fund Raising
 - For Teachers
 - Post Employment Restrictions
 - For Legislators
 - Lobbying
 - For State Board and Commission Members
- Forms and Instructions:
 - Financial Disclosure Forms and Instructions
 - Gifts Disclosure Forms and Instructions
 - Lobbying Forms and Instructions
- Related Links to State, County Ethics, and Federal Ethics Agencies
- News
- Testimony on Legislation Relating to Ethics and Lobbying
- Filing Deadlines
- Meeting Notices for Meetings of the Hawaii State Ethics Commission
- Hawaii State Ethics Commission Contact Information
 - Office Location
 - Mailing Address
 - Phone/Fax
- Follow us on Twitter (link to follow Hawaii State Ethics Commission's Tweets)

TABLE 13

HAWAII STATE ETHICS COMMISSION RECORDS CHECK OF APPLICANTS FOR JUDICIAL OFFICE PERFORMED AT THE REQUEST OF THE STATE JUDICIAL SELECTION COMMISSION IN 2013						
MONTH	NUMBER OF APPLICANTS					
	Supreme Court	First Circuit Court	Second Circuit Court	Third Circuit Court	Fifth Circuit Court	Total Applicants Per Month
January						
February						
March		1				1
April		4				4
May	26	1	1			28
June		1		1		2
July						
August	11	1				12
September		2		1	4	7
October		1			5	6
November		1				1
December		16	6			22
TOTAL Per Court:	37	28	7	2	9	Grand Total: 83

TABLE 14

HAWAII STATE ETHICS COMMISSION DISCLOSURE RECORDS AVAILABLE FOR PUBLIC INSPECTION*	
1	Public Financial Disclosure Statements (Legislators, Other Elected State Officials, Appointed State Officials, and Candidates for State Elective Office)
2	Gifts Disclosure Statements
3	Lists of Registered Lobbyists
4	Lobbyist Registration Statements
5	Lobbying Expenditure Statements of Registered Lobbyists
6	Lists of Organizations Represented by Registered Lobbyists
7	Lobbying Expenditure Statements of Organizations
8	Total Amounts of Lobbying Expenditures Reported by Organizations
9	Notices of Intent to Award Contracts to a Legislator or Employee, or Business in Which a Legislator or Employee Has a Controlling Interest (filed pursuant to HRS Section 84-15(a))

* Most records also available on the Hawaii State Ethics Commission website:
<http://www.hawaii.gov/ethics>.

TABLE 15

**HAWAII STATE ETHICS COMMISSION
2013 PUBLICATIONS***

Constitution

Article XIV, Hawaii State Constitution

Ethics Statutes, Administrative Rules, General Information

Chapter 84, Hawaii Revised Statutes, Standards of Conduct
Hawaii State Ethics Commission Administrative Rules
Ethics Guide for State Elected Officials, State Employees, State Board and
Commission Members
Ethics Checklist
Outline of Substantive Areas of the State Ethics Code

Ethics Newsletter

The High Road Newsletter

Gifts and Gifts Disclosure

Holiday Gifts Reminder
Guidelines for Gifts Under the State Ethics Code
Gifts and the State Ethics Code
Questions and Answers on the Gifts Disclosure Law

Campaigning

Campaign Restrictions for State Officials and State Employees
Prohibition on Candidate Campaign Walk-Throughs in State Agencies
Prohibited Use of State E-Mail for Campaign Purposes

Fundraising

Fundraising in State Offices
Ethics Guidelines for AUW Fund Raiser Activities Conducted by State Agencies

Continuation of Table 15:

For Legislators

Informational or Educational Trips Solicited or Accepted by Legislators
Attendance at "Appreciation" Receptions, Dinners, Meals, or Parties, etc.,
Offered by Lobbyists
Legislative Interns

For Teachers

The Application of the State Ethics Code to Furloughed Teachers
The Application of the State Ethics Code to DOE Teachers Regarding Private
Instruction for Pay

For State Board and Commission Members

Overview of the State Ethics Code for State Board and Commission Members

Post Employment

Post Employment Restrictions

Lobbying

Chapter 97, Hawaii Revised Statutes, Lobbyists
Lobbying Registration and Reporting Manual
Informational or Educational Trips as Lobbying Expenditures
"Goodwill" Lobbying as Lobbying Expenditure
Accrued or Incurred Lobbying Expenditures; Company Employee Lobbying
Expenditures
Public Statement Regarding Lobbying Charge and Requirements of the
Lobbyists Law, Hawaii Revised Statutes, Chapter 97

* All publications are available on the Hawaii State Ethics Commission website: <http://hawaii.gov/ethics>.